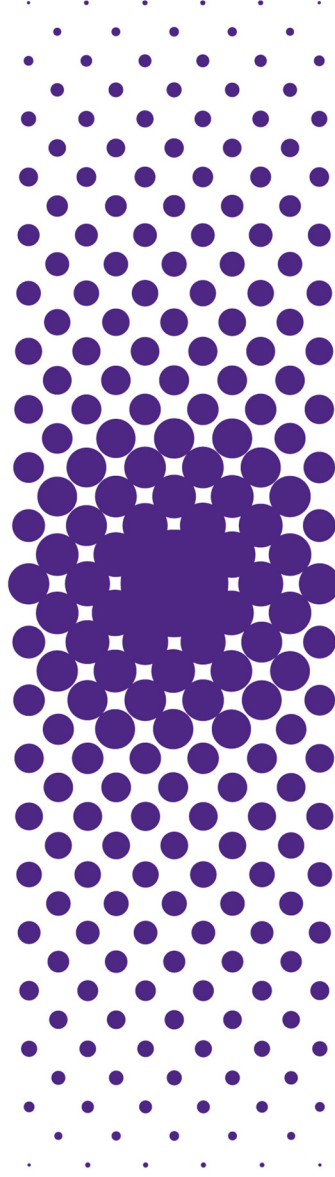


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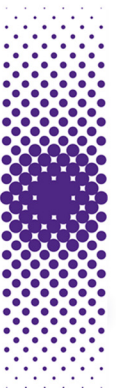


Janice J. Sackley

Jan has more than twenty years experience in the financial services sector. She has hands-on, in-depth experience managing compliance programs for banking organizations in trust administration, investment management, fiduciary risk management, and securities brokerage. She developed a new broker-dealer at a major bank which grew to 30,000 accounts across four states and was the first bank-owned broker to join the Securities Industry Association (now SIFMA). She also worked as an insurance agent early in her career and earned the Chartered Life Underwriter designation.

Jan often serves as a guest speaker and panelist for industry conferences and seminars on fiduciary topics, and participates on industry task forces for regulators and trade associations. She is currently a member of the Fiduciary and Investment Risk Management Association (FIRMA), the Fiduciary Risk Management and Compliance Roundtable, and is certified as a fraud examiner by the Association of Certified Fraud Examiners. She also serves as an editor of the industry publication, *FIRMA Forum*, and is a frequent commenter and author on fiduciary matters.

If your project requires additional expertise, Jan has a team of collaborators that work together to achieve results. More information on Jan and her team can be found at www.fiduciaryforesight.com.



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Risk Management and Compliance Consultants

Serving trust companies, banks, investment advisors and others who have fiduciary obligations, and CPAs and attorneys who provide services to fiduciaries.

- Trust compliance consultations
- Regulatory risk assessments
- Conflicts of interest assessments
- Code of conduct and ethics reviews
- Reg R training
- Reg 9 (12 CFR Part 9) compliance assistance
- Fraud investigation assistance
- Trust policy reviews
- Bank fiduciary exam preparation assistance
- Special projects requiring investment or fiduciary expertise

New regulations, new standards, demanding regulators ...all demand the time and attention of your firm's management. These demands take away time you would rather spend serving your customers, strategizing your business goals or addressing the daily needs of your firm. Perhaps your firm is not large enough to have full-time resources devoted to risk assessments and compliance programs, or maybe you have a special project that requires additional expertise and judgment.

Fiduciary Foresight, LLC can help you meet your compliance and risk management needs.



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Jan Sackley